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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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08/636,706 04/23/1996 ANN M. WOLLRATH P1189 3311

22852 7590 05/23/2002  
FINNEGAN, HENDERSON, FARABOW, GARRETT &  
DUNNER LLP  
1300 I STREET, NW  
WASHINGTON, DC 20005

EXAMINER

LAO, SUE X

ART UNIT	PAPER NUMBER
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2151

DATE MAILED: 05/23/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	08/636,706	WOLLRATH ET AL.
<b>Examiner</b>	<b>Art Unit</b>	
S. Lao	2151	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 20 December 2001.
- 2a) This action is FINAL.      2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-33 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.  
 If approved, corrected drawings are required in reply to this Office action.
- 12) The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All b) Some \* c) None of:
1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
 a) The translation of the foreign language provisional application has been received.
- 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

#### Attachment(s)

- |  |  |
|--|--|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                               | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ . |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)           | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ . | 6) <input type="checkbox"/> Other: _____                                     |

## DETAILED ACTION

1. The request filed on 4/30/1999 for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) based on parent Application No. 08/636,706 is acceptable and a CPA has been established. An action on the CPA follows.
2. Claims 1-33 are pending. This action is in response to the amendment filed 12/20/2001. Applicant has amended claim 1-33.
3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
4. Claims 1, 4, 11, 14, 21, 24 are rejected under 35 U.S.C. 102(e) as being anticipated by Hill et al (US Pat 5,511,197).

As per claims 1, 11, 21, Hill et al teach a stub retriever (retrieving stub message address) configured to initiate a retrieval of stub (stub object within the server) from a server associated with processing of remote method, stub loader for loading stub into execution environment (loading stub code and dynamically loading code to create an instance of a proxy) and stub used to facilitate remote invocation of remote method (RPC runtime invokes a method of the stub channel) [col. 6, line 65 -col. 7, line 54; col. 10, line 29-44; col. 14, lines 34-col. 15, line 42; col. 19, line 1-47]. In so doing, the stub is available for use.

As per claims 4, 14, 24, refer to claims 1, 11, 21 respectively for rejection.

5. Claims 31-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Betz ("Interoperable objects: laying the foundation for distributed-object computing", Dr. Dobb's Journal, v19, n11, p18(13)) in view of Hill et al (US Pat 5,511,197).

As per claims 31 and 32, Betz teaches computer (machine under a single operating system)[page 4 of enclosed copy, lines 14-22] stub (stub code) [page 3 of enclosed copy, first full paragraph of page; pages 7-8 of enclosed copy, section Architecture of the Orb].

However, Betz does not teach stub loader for controlling computer to load stub into execution environment to make stub available for use in remote invocation, stub retrieval module configured to control computer to initiate a retrieval of stub from a server associated with processing of remote method.

Hill et al teach stub loader (loading the code of stub 302, dynamically loading code to create an instance of a proxy), stub retrieval module (stub object 302 within the server) to control computer to initiate a retrieves of a stub (retrieving stub message address) from a server associated with processing of remote method and stub used to facilitate remote invocation of remote method (RPC runtime invokes a method of the stub channel ) [col. 6, line 65 -col. 7, line 54; col. 10, lire 29-4; col. 14, lines 34; col. 15, line 42; col. 19, line 1-47].

It would have been obvious to modify the system of Betz by implementing retrieval of stub and loading of stub because it provides it provides a mechanism for automatically generating stubs and proxies.

As per claim 33, refer to claim 31 for rejection and combination of references. It would have been obvious to embody these limitations as code store on a computer readable medium and executable by a computer.

6. Claims 3, 7-10, 13, 17-20, 23, 27-30 are rejected under 35 U.S.C 103(a) as being unpatentable over Hill et al as applied to claims 1, 11 and 21 and in view of Birrell et al ("Network Objects", 1994).

As per claim 3, Hill et al do not explicitly teach remote method invocation control. Birrell et al teach remote method invocation control (object-oriented system which performs the steps for remote method invocation) [pp. 511,17-21,31-33,39-48]. It would have been obvious to remote invocations include within the system of Hill because it provides the capability of communicating across different address spaces.

As per claim 7, Hill et al do not explicitly teach remote server identifier for providing server identification. Birrell et al teach remote server identifier (hostnames) for providing server identifier. It would have been obvious to include server identifiers within the system of Hill because it provides the capability for associating an address with the server.

As per claim 8, Hill et al in combination with Birrell et al teach remote method server identifier (endpoint) [Birrell : pp 15-16].

As per claim 9, Hill et al in combination with Birrell et al teach remote method invocation identification (identifiers representing the object, the caller and the type of code) for controlling invocation of remote method [Birrell: pp 17-21 ].

As per claim 10, Hill et al in combination with Birrell et al teach nameserver (name exported from a machine server) for providing server identification and remote server identifier initiating communication with nameserver to obtain the server identification of remote method [Birrell : pp 7-9]

As per claims 13, 17-20, refer to claims 3, 7-10 respectively for rejection and combination of references. It would have been obvious to embody these limitations as method.

As per claims 23, 27-30, refer to claims 3, 7-10 respectively for rejection and combination of references. It would huge been obvious to embody these limitations as a computer program product.

7. Claims 2, 5, 6, 12, 15, 16, 22, 25, 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hill et al as applied to claims 1, 11 and 21 and in view of Mitchell et al ("An Overview of the Spring System", Proceedings of Compcon, February 1994).

As per claim 2, Hill et al do not explicitly teach remote method reference detector for detecting whether remote method reference has been received in execution environment.

Mitchell et al teach a remote method reference detector (server creating an object reference) [page 5, section 7, last paragraph of page through page 6, line 4]. It would have been obvious to include within the system as taught by Hill et al a method reference

detector as taught by Mitchell because its provides the capability of guaranteeing that the correct data is being accessed.

As per claim 5, Hill et al do not teach providing a separate address space for processing remote method from address space provided by execution environment. Mitchell et al teach separate address space (servers operating in different address spaces from their clients) [page 3, section 3.1]. It would have been obvious to include with the system as taught by Hill et al the capability of separate address space because it provides a mechanism for protecting applications against interfering with each other.

As per claim 6, it would be obvious that the address space provided within Hill et al in combination with Mitchell et al can be provided by separate computers.

As per claims 12, 15, 16, refer to claims 2, 5, 6 respectively for rejection and combination of references. It would have been obvious to embody these limitations as a method.

As per claims 22, 25, 26, refer to claims 2, 5, 6 respectively for rejection and combination of references. It would have been obvious to embody these limitations as a computer program product.

8. Applicant's arguments filed 12/20/2001 have been fully considered but they are not persuasive.

Applicant argued in substance that (1) Hill does not teach retrieving stub code from the server, instead, the proxy is created from dynamic link library that resides on the client system, (2) in Hill it is the client process, not the server process, that originates the object proxy in response to an interface pointer. (Remarks, page 14, 1st-2nd paragraphs)

The examiner's response is as follows. As to (1), Hill teaches retrieving stub code from the server in that Hill retrieves the stub address of a stub object and then loads a copy of the stub. [col. 6, line 65 - col. 7, line 17; col. 10, line 17-41]. As to the argued "dynamic link library that resides on the client system", this is not recited in the passage of Hill cited by applicant (col. 5, line 60 - col. 6, line 9). Hill teaches "dynamically loading code

to create an instance of the proxy" in col. 7, lines 14-15, instead of creating from dynamic link library that resides on the client system as argued by applicant.

As to (2), each of the independent claims (claims 1, 11, 21, 31, 32 and 33) only requires a stub retriever to initiate a retrieval of a stub from a server, instead of requiring a client or a server to initiate/originate the retrieval. Claims 1, 11, 21, 31, 32 and 33 do not specify where the stub retriever is located, whether it is on a client, on a server, or on a third party node.

For these reasons above, applicant's arguments are not persuasive.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sue Lao whose telephone number is (703) 305-9657. A voice mail service is also available at this number. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 746-7238 for After Final communications, (703) 746-7239 for Official communications and (703) 746-7240 for Non-Official/Draft communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-9600.

Sue Lao *SXC*

May 17, 2002